



December 31, 2020

Principal Investigator: Joanne Braxton, PhD., M.Div., M.T.S., M.A., B.A.

Sponsor: Columbia University (CARSS)

Protocol Number: Braxton Institute_001

Study Title: "TREE OF LIFE: BLACK FAITH MATTERS IN A TIME OF DUAL PANDEMICS"

Dear Dr. Braxton:

The above-referenced study has been determined by Michael Aldridge, PhD to be exempt according to 45 CFR 46.104:

Exempt Category #1

Research, conducted in established or commonly accepted educational settings, that specifically involves normal educational practices that are not likely to adversely impact students' opportunity to learn required educational content or the assessment of educators who provide instruction, this includes most research on regular and special education instructional strategies, and research on the effectiveness of or the comparison among instructional techniques, curricula, or classroom management methods.

Exempt Category #2

Research that only includes interactions involving the use of educational tests (cognitive, diagnostic, aptitude, achievement), survey procedures, interview procedures or observation of public behavior (including visual or auditory recording) if at least one of the following criteria is met:

- (i) The information obtained is recorded by the investigator in such a manner that the identity of the human subjects cannot readily be ascertained, directly or through identifiers linked to the subjects;
- (ii) Any disclosure of the human subjects' responses outside the research would not reasonably place the subjects at risk of criminal or civil liability or be damaging to the subjects' financial standing, employability, or reputation; or
- (iii) The information obtained is recorded by the investigator in such a manner that the identity of the human subjects can be readily ascertained, directly or through identifiers linked to the subjects, and an IRB conducts a limited IRB review to make the determination required by 46.111(a)(7).

RESPONSIBILITIES OF PRINCIPAL INVESTIGATOR:

- (1) Submit for review by IntegReview all modifications to the protocol or consent form(s), when applicable, if planned changes to an exempt study might make that study non-exempt from human subject's research, prior to the implementation of the change;
- (2) Protect the confidentiality of all personally identifiable information collected and train your staff and collaborators on policies and procedures for ensuring confidentiality of this information;

If you have any questions please feel free to contact our office at 512-326-3001.

Sincerely,
Lynn Goldman, MSHP, RD, LD, CCRP
Director of Client Services

Electronically signed by Lynn Goldman, MSHP, RD, LD, CCRP on 12/31/2020 2:05:40 PM

Note: Electronic signature above is a Part 11 compliant signature produced via IRBManager.

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IRB Registration Numbers: IRB00008463, IRB00003657, IRB00004920, IRB00001035, IRB00001

